

## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/825,849         | 04/16/2004             | Joseph F. Salfelder   | AMAT/7662/CMP/CMP/RKK  |

CONFIRMATION NO. 8199

Applied Materials  
 Patent Counsel  
 Legal Affairs Department  
 P.O. Box 450A  
 Santa Clara, CA 95052

## FORMALITIES LETTER



\*OC000000013086113\*

Date Mailed: 06/30/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/04/2004 FFANAI2 00000066 501074 10825849

01 FC:1001 790.00 DA  
 02 FC:1201 88.00 DA  
 03 FC:1051 130.00 DA

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$986 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$86

- \$86 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

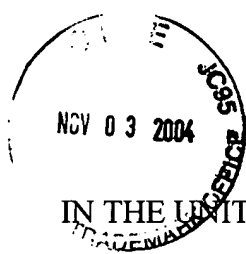
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*A copy of this notice **MUST** be returned with the reply.*

\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph F SALFELDER\* , et al

Assignee: APPLIED MATERIALS, INC.

Title: CARBONATION OF PH CONTROLLED KOH SOLUTION FOR IMPROVED  
POLISHING OF OXIDE FILMS ON SEMICONDUCTOR WAFERS

Serial No.: 10/825,849

Confirmation Number Assignment: 8199

Filed: 04/16/2004

Group Art Unit: 3723

Attorney Docket No.: 007662 /PPC/CMP/RKK

Examiner: Unknown

Santa Clara, California

COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Attention: Manager

Applications Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on June 30, 2004, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (signed by Joseph F. Salfelder) (4 pages);
2. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (signed by Wayne Swart) (4 pages);
3. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (signed by Gopalakrishna B. Prabhu) (4 pages);
4. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (signed by Srinivas R. Mirmira) (4 pages);
5. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (signed by Laertis Economikos, Fen.Fen Jamin, Donald J. Delehanty, Daniel Heenan and Joseph M. Danza, (7 pages);
6. A copy of the Notice to File Missing Parts of Application - Filing Date Granted

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account 50-1074;

- |   |           |
|---|-----------|
| 1. Filing Fee - Original Patent Application (37 CFR 1.16(a))    | \$770.00  |
| 2. Fees for claims  |           |
| <u>X</u> each independent claim in excess of 3 (37 CFR 1.16(f)) | -\$ 86.00 |

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430.00 DA

|  |                         |
|--|-------------------------|
| <u>    </u> each claim in excess of 20 (37 CFR 1.16(c))  | - \$                    |
| <u>  X  </u> Two Month Extension of Time   | \$430.00                |
| <u>  X  </u> Late Payment of filing fee or late filing of original<br>declaration or oath - (37 CFR 1.16(e)) | \$130.00                |
| Total Completion Fees  | <b><u>\$1316.00</u></b> |

3. Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

|                          |                                 |
|--------------------------|---------------------------------|
| Extension (Months)       | Fee for other than Small Entity |
| <u>    </u> One Month    | \$ 110.00                       |
| <u>  X  </u> Two Months  | \$ 380.00                       |
| <u>    </u> Three Months | \$ 870.00                       |
| <u>    </u> Four Months  | \$1,360.00                      |

If an additional extension of time is required please consider this a petition therefor.

4. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No.50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of April 16, 2004. Please telephone the undersigned at (408) 563-2726 , if there are any questions regarding this matter.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Mail Stop Missing Parts  
Commissioner of Patents and Trademarks,  
P.O. Box 1450

Alexandria, VA 22313-1450

on

October 29, 2004  
Barbara Holt  
Barbara Holt

Respectfully submitted,



Raymond Kam-On Kwong  
Registration No. 37,165